

**PATENT**  
Atty. Dkt. AMAT/5765/CMP/CMP/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Li, et al.

Serial No.: 10/026,854

Confirmation No.: 1816

Filed: December 20, 2001

**For:** Articles for Polishing  
Semiconductor Substrates

\_\_\_\_\_

Group Art Unit: 1765

Examiner: Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**  
**37 CFR 1.8**

I hereby certify that this correspondence is being deposited on  
October 2, 2003 with the United States Postal Service as First  
Class Mail in an envelope addressed to: Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10/02/03                      B. E.  
Date                                      Signature

Dear Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

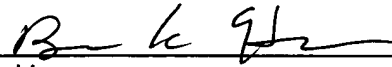
In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

Applicants certify that all references submitted with this disclosure were first cited in a communication from a foreign patent office dated August 22, 2003, which communication is enclosed, not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/AMAT/5765/BKH.

Respectfully submitted,



---

Brian K. Hrna  
Registration No. 41, 852  
MOSER, PATTERSON & SHERIDAN, L.L.P.  
3040 Post Oak Blvd., Suite 1500  
Houston, TX 77056  
Telephone: (713) 623-4844  
Facsimile: (713) 623-4846  
Attorney for Applicant(s)

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. AMAT/5765/CMP/C MP/RKK	Serial No. 10/026,854
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Applicant Li, et al.	Confirmation No. 1816
(Use several sheets if necessary)		Filing Date December 20, 2001	Group 1765
Examiner	Unknown		

**U.S. Patent Documents**

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	6,159,088	12/12/2000	Nakajima	451	527	01/29/1999
	A2	5,990,012	11/23/1999	Robinson, et al.	438	692	01/27/1998
	A3	5,899,799	05/04/1999	Tjaden, et al.	451	287	01/19/1996
	A4	5,527,215	06/18/1996	Rubino, et al.	451	527	06/10/1992
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						

**Foreign Patent Documents**

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1	06047678	02/22/1994	JP (English Abstract)	B24D	11/06	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B2	11216663	08/10/1999	JP (English Abstract)	B24B	37/00	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B3	00/71297	11/30/2000	WO	B24B	37/04	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER ART**

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	European Search Report for Application No. 00311062.4 dated 08/22/2003 (AMAT/3778EP)
	C2	

Examiner	Date Considered
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.